## Recent Trends in Nonlinear Science

# Tracing, mixing and entropy $\mathsf{V}$

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### Graphs

By a graph we mean a pair G = (V, E) of finite sets, where  $E \subset V \times V$  (V - set of vertices, E - set of edges).

The graphs we consider are always edge surjective, i.e. for every  $v \in V$  there are  $u, w \in V$  such that  $(u, v), (v, w) \in E$ .

### Graph homomorphisms

A map  $\phi: V_1 \to V_2$  is a graph homomorphism between graphs  $(V_1, E_1)$ ,  $(V_2, E_2)$  if for every  $(u, v) \in E_1$  we have  $(\phi(u), \phi(v)) \in E_2$ .

#### Important property

A homomorphism  $\phi$  is bidirectional if  $(u, v), (u, v') \in E_1$  implies  $\phi(v) = \phi(v')$  and  $(w, u), (w', u) \in E_1$  implies  $\phi(w) = \phi(w')$ .

#### bd-covers

If  $\phi$  is a **bidirectional** map between **edge-surjective graps** then we call it **bd-cover**.

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Construction inspired by (Akin, Glasner, Weiss, 2008)

Let  $\mathcal{G} = \langle \phi_i \rangle_{i=0}^{\infty}$  be a sequence of bd-covers  $\phi_i : (V_{i+1}, E_{i+1}) \to (V_i, E_i)$ , and let

$$V_{\mathcal{G}} = \varprojlim(V_i, \phi_i) = \{ x \in \prod_{i=0}^{\infty} V_i : \phi_i(x_{i+1}) = x_i \text{ for all } i \ge 0 \}$$

be the inverse limit defined by  $\mathcal{G}$ . Set

$$E_{\mathcal{G}} = \{ e \in V_{\mathcal{G}} \times V_{\mathcal{G}} : e_i \in E_i \text{ for each } i = 1, 2, \dots \}$$

As usual,  $V_i$  is endowed with discrete topology and  $\mathbb{X} = \prod_{i=0}^{\infty} V_i$  is endowed with product topology.

### Lemma (Shimomura, 2014)

Let  $\mathcal{G} = \langle \phi_i \rangle$  be a sequence of bd-covers  $\phi_i : (V_{i+1}, E_{i+1}) \rightarrow (V_i, E_i)$ . Then  $V_{\mathcal{G}}$  is a zero-dimensional compact metric space and the relation  $E_{\mathcal{G}}$  defines a homeomorphism.

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## Applications

Shimomura's approach provides **very effective** tool for description or construction of Cantor systems. It leads to huge simplifications in proofs and arguments.

### Example: odometer

- $G_0$  has a cycle  $c_0$ .
- $\varphi_n: G_{n+1} \to G_n$  is defined by,

$$\phi_n(c_{n+1}) = a_n \cdot c_n.$$

## Example: transitive non-minimal system

- *G*<sup>0</sup> has two cycles *c*<sub>0,1</sub>, *c*<sub>0,2</sub>.
- $\varphi_n: G_{n+1} \rightarrow G_n$  is defined, for i = 1, 2,

 $\phi_n(c_{n+1,1}) = 3c_{n,1}, \quad \phi_n(c_{n+1,2}) = 2 \cdot c_{n,1} + 2 \cdot c_{n,2} + c_{n,1}.$ 

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## Minimal systems - Gambaudo-Martens approach

- Ecah graph  $G_i$  has special vertex  $v_{i,0}$ .
- Each vertex in G<sub>i</sub> has at least one outgoing edge but ony v<sub>i,0</sub> can have ore than one
- See Eeach  $G_i$  is strongly connected (there is a path between two vertices)

• 
$$\varphi_i(\mathbf{v}_{i,0}) = \mathbf{v}_{i-1,0}$$
 for every  $i \ge 1$ ,



• the cycle  $c_{i,j}$  in  $G_i$  can be written as  $v_{i,0} = v_{i,j,0}, v_{i,j,1}, v_{i,j,2}, \dots, v_{i,j,l(i,j)} = v_{i,0}$  with the length  $l(i,j) \ge 1$ , •  $\varphi_i(v_{i,j,1}) = v_{i-1,1,1}$  for  $i \ge 1$  and  $j = 1, 2, \dots, r_i$ . A GM-covering is called simple if, for  $i \ge 1$ , there exists m > i such that

$$E(\varphi_{m,i}(c_{m,j}))=E(G_i),$$

for each cycle  $c_{m,j}$  in  $G_m$ .

#### Lemma

A zero-dimensional dynamical system is minimal if and only if it can be represented as the inverse limit of a simple GM-covering.

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# Minimal systems - old in new language

Over the years several techniques were obtained to construct minimal systems with desired properties.

- There are several general methods, which are modifications of celebrated Jewett-Krieger theorem. They provide many examples with desired dynamical properties (as a consequence of properties of selected invariant measure).
- But techniques that may be directly applied in various context (e.g. to detect dynamical properties in concrete cases) are also of interest.
- Examples of weakly mixing minimal system
  - If (X, T) is transitive and there is a point x with dense orbit such that
  - for each open  $U \ni x$  there is n such that
  - $T^n(U) \cap U \neq \emptyset$  and  $T^{n+1}(U) \cap U \neq \emptyset$
  - Then (X, T) is weakly mixing.
- 2 Examples of minimal systems with positive entropy

# Krieger's Marker Lemma (Downarowicz's version)

- $\bigcirc$  (X, T) a zero-dimensional system.
- 2 By an *n*-marker we mean a clopen set  $F \subset X$  such that:
  - no orbit visits F twice in n steps (i.e.  $F, T^{-1}(F), \ldots, T^{-(n-1)}(F)$  are pairwise disjoint)
  - every orbit visits F at least once (by compactness, this implies that for some  $N \in \mathbb{N}$ , we have  $F \cup T^{-1}(F) \cup \ldots \cup T^{-(N-1)}(F) = X$ ).

### Theorem (Krieger's Marker Lemma, aperiodic case)

If (X, T) is an aperiodic zero-dimensional system then for every  $n \in N$ there exists an *n*-marker. The parameter N in above can be selected equal to N = 2n - 1.

• If we fix any clopen set U, then in Krieger's Marker Lemma we may require that  $F \cap U \neq \emptyset$ .

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## Examples of applications of Marker Lemma







Acyclic graph by breaking \*



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# Shadowing in Cantor systems (Good, Meddaugh)

- An inverse system (of spaces or of dynamical systems) satisfies the Mittag-Leffler condition provided that for all i ≥ 0 there exists j ≥ i such that for every k ≥ j we have φ<sub>k,i</sub>(X<sub>k</sub>) = φ<sub>j,i</sub>(X<sub>j</sub>).
- if  $\varphi_i$  are injective, they satisfy Mittag-Leffler condition.

### Theorem (Simplified version)

Let X be the Cantor set, or indeed any compact, totally disconnected metric space. The map  $T: X \to X$  has shadowing if and only if (T, X) is conjugate to the inverse limit of a sequence satisfying the Mittag-Leffler condition and consisting of shifts of finite type.

# Typical homeomorphisms on Cantor set

- There exists a residual set  $\mathcal{R} \subset \mathcal{H}(\mathcal{C})$  such that if  $T, S \in \mathcal{R}$  then (C, T), (C, S) are conjugate.
- 2 First proof by Kechris and Rosendal on existence of  $\mathcal{R}$ .
- Akin, Glasner and Weiss proof with complicated construction of an element
- Bernardes, Darji nice characterization via graph covers (for homeomorphisms and continuous surjections)

## Theorem (Bernardes, Darji)

The set of all  $T \in \mathcal{H}(C)$  with the following property is a residual conjugacy class of  $\mathcal{H}(C)$ . For every  $m \in \mathbb{N}$ , there are a partition P of C of mesh < 1/m and a multiple  $q \in \mathbb{N}$  of m such that every component of  $G_{T}(P)$  is a balanced dumbbell with plate weight q! that contains both a left and a right loop of T.

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## Roughly speaking:

attractor-repellor pair of two odometers  $\times$  identity on Cantor set

### Properties of maps in the class include:

- Zero (sequence) entropy
- Shadowing property
- Lack of periodic points
- Rec(T) is a Cantor set with empty interior
- ...